

#3



UNITED STATES PATENT AND TRADEMARK OFFICE

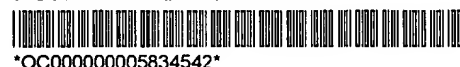
COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/768,305	01/25/2001	Vincent Chern	50310-00639

CONFIRMATION NO. 4151

25243
COLLIER, SHANNON, SCOTT, PLLC
3050 K STREET, NW
SUITE 400
WASHINGTON, DC 20007

FORMALITIES LETTER



OC000000005834542

Date Mailed: 03/07/2001



NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- o The oath or declaration is missing.
- o To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- o **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- o A substitute specification in compliance with 37 CFR 1.52 because:
 - Papers contain improper margins. *Each sheet must have a left margin of at least 2.5 cm (1") and top, bottom and right margins of at least 2.0 cm (3/4")*

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

06/15/2001 13:00:11 00000060 09768305 130.00 DP
01 FC:105

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

Collier Shannon Scott



June 14, 2001

Assistant Commissioner for Patents
BOX MISSING PARTS
Washington, D.C. 20231

Sector
Collier Shannon Scott, PLLC
Washington Harbour, Suite 400
3050 K Street, NW
Washington, DC 20007-5108
202.342.8400 TEL
202.342.8451 FAX

DAVID R. YOHANNAN
202.342.8616
dyohannan@colliershannon.com

Re: U.S. Patent Application Serial No. 09/768,305
For: KNOWLEDGE-BASED INFORMATION RETRIEVAL SYSTEM AND
METHOD FOR WIRELESS COMMUNICATION DEVICE
Our Reference: 50310-00639

Dear Sir:

Transmitted herewith for filing in the U.S. Patent and Trademark Office in connection with the above-referenced application are the following documents: (1) Transmittal Form (1 sheet); (2) Fee Transmittal Form (1 sheet); (3) Response to Notice to File Missing Parts of Nonprovisional Application (2 sheets); (4) Copy of the Notice to File Missing Parts of a Nonprovisional Application (2 sheets); (5) a Preliminary Amendment (2 sheets); (6) Executed Declaration and Power of Attorney for Vincent Chern, William Son, and Yuri A. Tijerino (4 sheets); (7) Recordation Form Cover Sheets - with the executed Assignments for Applicants Vincent Chern, William Son, and Yuri A. Tijerino and Neopoint, Inc. (including Schedule A), for recording attached thereto (16 sheets); (8) a substitute specification in compliance with 37 CFR 1.52 (34 sheets); and (9) a check in the amount of \$680.00 (\$130.00 to cover the Government surcharge fee for the late filing of the Oath; \$160.00 for recording the Assignments; and \$390.00 for the two-month extension of time).

Sincerely yours,

A handwritten signature in black ink, appearing to read "David R. Yohannan".

DAVID R. YOHANNAN, Reg. No. 37,480

Enclosures

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL
for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$ 680.00)

Complete if Known

Application Number	09/768,305
Filing Date	January 25, 2001
First Named Inventor	Vincent Chern et al.
Examiner Name	Unassigned
Group Art Unit	2681
Attorney Docket No.	50310-00639

METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit
Account
NumberDeposit
Account
Name
☐ Charge Any Additional Fee Required
Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status.
See 37 CFR 1.27

2. ☒ **Payment Enclosed:**

☒ Check ☐ Credit card ☐ Money
Order ☐ Other
FEE CALCULATION**1. BASIC FILING FEE**

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1) (\$ -0-

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	-20** =	X	
Multiple Dependent Claims	-3** =	X	

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
103	18	203	9	Claims in excess of 20	
102	80	202	40	Independent claims in excess of 3	
104	270	204	135	Multiple dependent claim, if not paid	
109	80	209	40	** Reissue independent claims over original patent	
110	18	210	9	** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$ -0-

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	130.00
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	390.00
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	160.00
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 680.00)

SUBMITTED BY

Name (Print/Type)

DAVID R. YOHANNAN

Registration No.
(Attorney/Agent)

37,480

Complete (if applicable)

Telephone

202.342.8400

Signature

Date

June 14, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

#3


Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

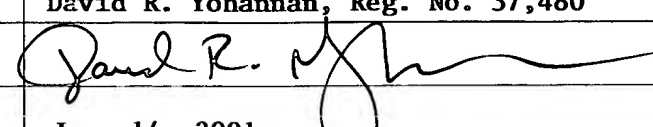
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

 TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/768,305	
	Filing Date	January 25, 2001	
	First Named Inventor	Vincent Chern et al.	
	Group Art Unit	2681	
	Examiner Name	Unassigned	
Total Number of Pages in This Submission	63	Attorney Docket Number	50310-00639

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input checked="" type="checkbox"/> 2-Month Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input checked="" type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation of Attorney <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Declaration and Power of Attorney Check in the amount of \$680.00
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	COLLIER SHANNON SCOTT, PLLC David R. Yohannan, Reg. No. 37,480
Signature	
Date	June 14, 2001

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: <input type="text"/>	
Typed or printed name	<input type="text"/>
Signature	<input type="text"/>
Date	<input type="text"/>

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re

Application of: Vincent Chern et al.

Application Serial No.: 09/768,305

Filed: January 25, 2001

For: KNOWLEDGE-BASED INFORMATION RETRIEVAL SYSTEM AND
METHOD FOR WIRELESS COMMUNICATION DEVICE

Attorney Docket #: 50310-00639



RESPONSE TO NOTICE TO FILE MISSING PARTS OF
NONPROVISIONAL APPLICATION

Assistant Commissioner for Patents
BOX MISSING PARTS
Washington, D.C. 20231

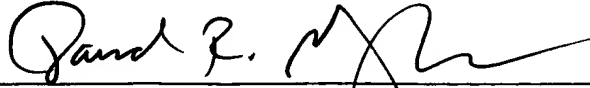
Dear Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application mailed March 7, 2001, Applicants' attorney submits the following documents in order to complete the formal requirements for the above-referenced application.

- [X] Copy of the Notice to File Missing Parts of Nonprovisional Application (2 sheets);
- [X] A Preliminary Amendment (2 sheets);
- [X] Executed Declaration and Power of Attorney for Applicants Vincent Chern, William Son, and Yuri A. Tijerino (4 sheets);
- [X] Recordation Form Cover Sheets -- with the executed Assignments for Applicants Vincent Chern, William Son, and Yuri A. Tijerino and Neopoint, Inc. (including Schedule A), for recording attached thereto (16 sheets);
- [X] A substitute specification in compliance with 37 CFR 1.52 (34 sheets);
- [X] Petition for 2-Month Extension of Time under 37 CFR 1.136(a) (1 sheet); and

- [X] a check totaling \$680.00 (\$130.00 to cover the Government surcharge fee for the late filing of the Oath; \$160.00 for recording the Assignments; and \$390.00 for the two month extension of time).

Respectfully submitted,



Dated: June 14, 2001

DAVID R. YOHANNAN, Reg No. 37,480
COLLIER SHANNON SCOTT, PLLC
3050 K Street, N.W., Suite 400
Washington, D.C. 20007
(202) 342-8400